P/3331-111 (CONT.)

Date: November 7, 2000

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Edward R. HOWORKA

Serial No.: Not Yet Known

Filed: Herewith

For: ANONYMOUS TRADING SYSTEM WITH IMPROVED QUOTE INPUT CAPABILITIES

Assistant Commissioner for Patents

Washington, DC 20231

## REQUEST FOR CONTINUING APPLICATION UNDER 37 C.F.R. 1.53(b)

Sir:

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This is a request for the filing of a Continuation application under the provisions of 37 C.F.R. 1.53(b) of pending application Serial No. 09/029,181, filed June 15, 1998, by Edward R. HOWORKA entitled "ANONYMOUS TRADING SYSTEM WITH IMPROVED QUOTE INPUT CAPABILITIES". The prior application is hereby incorporated by reference.

Enclosed is a copy of the prior application, including the oath or Declaration as originally filed. A copy of a subsequent Power of Attorney to the undersigned as filed in the prior application is also enclosed.

I hereby state that the attached papers are a copy of prior application Serial No. 09/029,181, filed June 15, 1998, without any new matter therein.

The filing fee is calculated as follows:

BASIC Filing Fee:\$ 7	10.00
Number of Claims in Excess of 20: x \$18	
Number of Independent Claims over 3: x \$80	
One or more multiple dependent claims: \$260\$	
TOTAL FILING FEE:	



Check No. <u>1990</u> which includes the amount of \$710.00 in payment of the filing fee is enclosed herewith.

The Patent and Trademark Office is hereby authorized to charge any additional fees or credit any refund, at any time during the prosecution of this application, to Deposit Account No. 15-0700.

Please amend the specification by inserting at page 1, after the title, the following paragraph:

## -- RELATED APPLICATIONS

This is a continuation of application Serial No.09/029,181, filed June 15, 1998, now allowed, which is based upon PCT International Application No. PCT/US96/14086, filed August 28, 1996, which claims priority to Provisional Application No. 60/002,856, filed August 28, 1995.--

This application includes <u>four (4)</u> sheets(s) of drawings containing Figures 1-4.

Priority under 35 U.S.C. §119 based on Provisional Application No. <u>60/002,856</u>, filed <u>August 28, 1995</u>, is claimed.

The prior application was assigned to <u>EBS Dealing Resources</u>, <u>Inc.</u>, and is recorded at Reel <u>9338</u>, Frame <u>671</u>.

The power of attorney in the prior application is to customer no. 2352, OSTROLENK, FABER, GERB & SOFFEN, LLP, 1180 Avenue of the Americas, New York, New York 10036-8403, and the members of the firm: Samuel H. Weiner, Reg. No. 18,510; Robert C. Faber, Reg. No. 24,322; Edward A. Meilman, Reg. No. 24,735; Stanley H. Lieberstein, Reg. No. 22,400; Steven I. Weisburd, Reg. No. 27,409; Max Moskowitz, Reg. No. 30,576; Stephen A. Soffen, Reg. No. 31,063; James A. Finder, Reg. No. 30,173; William O. Gray, III, Reg. No. 30,944; Louis C. Dujmich, Reg. No. 30,625, and Douglas A. Miro, Reg. No. 31,643, as attorneys with full power of substitution and revocation to prosecute this application,



to transact all business in the Patent and Trademark Office in connection therewith and to receive all correspondence. The Power appears in the original papers in the prior application.

SEND CORRESPONDENCE TO:

**DIRECT TELEPHONE CALLS TO:** 

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## EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office (mail label # EL583737347US) in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 7, 2000:

**Dorothy Jenkins** 

Jame of Person Mailing Correspondence

// Signature Sovember 7, 2000

Date of Signature

SIW:db/lac

Respectfully submitted,

Steven I. Weisburd

Registration No.: 27,409

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